

Risk Profiler

Complete this questionnaire for client and partner

Client Name:

Step 1: ask the questions of the client and record the answers, circling the answer square:

How do you feel about investment risk?

	Strongly agree	Somewhat agree	Somewhat disagree	Strongly disagree
I would feel comfortable if my investments could easily rise and fall by a quarter (25%) or more in a year	7	5	2	1
If my investments fell significantly in value I might see this as an opportunity to buy more at cheaper prices.	7	5	2	1
I would not feel comfortable if my investments could fall in value at all.	1	1	4	7
I prefer the security of bank accounts to stock market related investments.	1	1	4	7
I can sleep at night knowing that my investments might rise and fall quite rapidly in the short term	7	4	2	1

Step 2: Now add up the numbers: _____

Step 3: circle the client's risk level:

From	To	Risk level is ...
0	5	1
6	9	2
10	11	3
12	14	4
15	16	5
17	19	6
20	21	7
22	24	8
25	30	9
31	99	10

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Date

Risk Profiler

Partner Name:

Step 1: ask the questions of the partner and record the answers, circling the answer square:

How do you feel about investment risk?

	Strongly agree	Somewhat agree	Somewhat disagree	Strongly disagree
I would feel comfortable if my investments could easily rise and fall by a quarter (25%) or more in a year	7	5	2	1
If my investments fell significantly in value I might see this as an opportunity to buy more at cheaper prices.	7	5	2	1
I would not feel comfortable if my investments could fall in value at all.	1	1	4	7
I prefer the security of bank accounts to stock market related investments.	1	1	4	7
I can sleep at night knowing that my investments might rise and fall quite rapidly in the short term	7	4	2	1

Step 2: Now add up the numbers: _____

Step 3: circle the partner's risk level:

From	To	Risk level is ...
0	5	1
6	9	2
10	11	3
12	14	4
15	16	5
17	19	6
20	21	7
22	24	8
25	30	9
31	99	10

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Date

